

Voting Systems (M100 Optical Scan Tabulator or AutoMARK) Incident Report

<b>County Board of Elections</b>	Office: <b>Stokes County Board of Elections</b>	
	Title: <b>Election Systems Security Incident Report</b>	
	Incident Number: (Assigned by CBE) <u>1</u>	Date incident occurred/opened (MM/DD/YYYY): <u>11/6/12</u>
		Date incident resolved/closed (MM/DD/YYYY): <u>11/6/12</u>
	Signature of person reporting the incident: _____ (Form completed by director)	
	Person preparing this report (if different from the person making the report): <u>Jason Perry</u>	

FILLED IN BY THE COUNTY BOARD OF ELECTIONS

	Check the one item that best describes the general nature of the incident
Incident Location	<input type="checkbox"/> General Security policy violation
Person #1 responding	<input checked="" type="checkbox"/> Equipment or hardware failure or malfunction <input checked="" type="checkbox"/> M-100 Optical Scanner <input type="checkbox"/> AutoMARK Vote Assist Terminal <input type="checkbox"/> iVotronic Terminal
Person #2 responding	<input checked="" type="checkbox"/> Software malfunction, failure, or error
	<input type="checkbox"/> Unauthorized use of password
	<input type="checkbox"/> Suspected computer virus, worm, Trojan Horse
	<input type="checkbox"/> Tampering with voter registration system or voting equipment
	<input type="checkbox"/> Unauthorized access to voter registration system room, voting system facility or voting system equipment storage area.
	<input type="checkbox"/> Other: _____

Full description of incident: (Filled in by the person reporting the incident)

(Filled out by director)

I received a call from the Chief Judge at Wilson's Store precinct on Election Day morning. He indicated that some ballots when placed in the M100, were being returned with an 'unreadable marks' message. These ballots were being placed in the emergency bin.

Response to the incident: (Filled in by the CBE)

A staffer in the CBE office went to the polling place with a back-up tabulator and a new PCMCIA card (the card was sent in the event that there was a problem with the card in the machine rather than the machine itself). When the tabulator was replaced, ~~the~~ all ballots were able to be run through the machine without incident. The Chief Judge & 2 Judges later ran through the tabulator the ballots that had been placed in the emergency bin. Also, a Printelect technician who was in the area came to the office to look at

Recommendations to prevent future such incidents: (Filled in by the CBE) the back-up machines.

All machines receive preventive maintenance, machines are also thoroughly tested before each election. Steps are therefore taken to mitigate such circumstances.

Name/Signature of person "clearing" and closing the incident:

(Must be either the CBE Director or Authorized Election Custodian.)

COUNTY BOARD OF ELECTIONS  
 NON VOTING SYSTEMS INCIDENT REPORT

Type of Incident:  Election Day  One-stop  Mail Absentee  Other (Non-Election Day)

Precinct: One-Stop King Date Incident Occurred: 10/31/12 Time: 5:02

Name of Person Contacted at CBE: JASON PERRY

Person reporting the incident: KAREN WILSON Contact number: 414-1398

Signature: \_\_\_\_\_

Check the item(s) that best describe(s) the general nature of the incident. Please provide a full description of the incident and the resolution in the blocks provided below:

<input type="checkbox"/>	Candidate/Campaign Worker Issue
<input type="checkbox"/>	Precinct Official Issue
<input checked="" type="checkbox"/>	Voter or Person Assisting Voter, Issue
<input checked="" type="checkbox"/>	Voter Offered Provisional Ballot but Chose Not to Vote Provisionally
<input type="checkbox"/>	Person unauthorized to enter into polling place
<input type="checkbox"/>	Facility Issue (incident with the facility – no power, water, heat, broken items)
<input type="checkbox"/>	Voter Assignment Issue
<input type="checkbox"/>	Ballot Issue - Voter Given Incorrect Ballot
<input type="checkbox"/>	Ballot Issue - Correct Ballot Unavailable
<input type="checkbox"/>	Other: (describe)

**Full description of the incident: (completed by person reporting the incident)**

Voter - William Stevens, assisted by caregiver/walker who presented Voter Reg. Card to vote - he did not feel comfortable accepting this since Mr. Stevens could not communicate anything to me. Cathy Knight, chief judge was contacted - she advised me to call CBE -

**Response to the incident at the precinct: (completed by person taking report)**

el spoke w/ Jason Perry - he advised if we felt that Mr. Stevens did not understand, we was he able to communicate to us that he wanted to vote, we could advise him that he could vote a provisional ballot - myself, Cathy Knight & Joyce Wall spoke w/ care worker & Mr. Stevens - several questions were ask to Stevens who stated he lived in Winston Salem. He could not give us his full name, address or DOB - When Mr. Stevens was ask by care giver if he would rather vote a get a sticker, Mr. Stevens said, "a sticker". At this point they both left.

C Knight  
 J Wall

**Response to the incident at the BOE: (completed by the BOE)**

Shortly before 5:00 PM, I was called by Karen Wilson, one-stop worker at our King site, concerning a voter assistance issue. After she described the matter, I was confident in what the response would be but elected to call the State Board of Elections to confirm. Staffer Veronica Degraffenreid stated that the voter must be able to state his name & address or otherwise communicate in some ~~other~~ manner his intent to vote. I subsequently called Mrs. Wilson back & emphasized this to her.

Later that evening I was informed that the two individuals left without voting. I informed workers at the other one-stop sites to be aware of this in the event that the caregiver would take the person to another voting location.

**Recommendations to prevent such incidents in the future (completed by the BOE)**

This was not an incident created by the BOE; I was comfortable with the way it was handled.

Signature of person resolving/closing the incident:

Director \_\_\_\_\_

Date: 10/31/12

COUNTY BOARD OF ELECTIONS  
 NON VOTING SYSTEMS INCIDENT REPORT

**Type of Incident:**     Election Day     One-stop     Mail Absentee     Other (Non-Election Day)

Precinct: Danbury One-Stop    Date Incident Occurred: 10/31/12    Time: 8:30 AM

Name of Person Contacted at CBE: -

Person reporting the incident: Director    Contact number: 593-2409

Signature: [Signature]

**Check the item(s) that best describe(s) the general nature of the incident. Please provide a full description of the incident and the resolution in the blocks provided below:**

<input type="checkbox"/>	Candidate/Campaign Worker Issue
<input type="checkbox"/>	Precinct Official Issue
<input type="checkbox"/>	Voter or Person Assisting Voter, Issue
<input type="checkbox"/>	Voter Offered Provisional Ballot but Chose Not to Vote Provisionally
<input type="checkbox"/>	Person unauthorized to enter into polling place
<input type="checkbox"/>	Facility Issue (incident with the facility – no power, water, heat, broken items)
<input type="checkbox"/>	Voter Assignment Issue
<input checked="" type="checkbox"/>	Ballot Issue - Voter Given Incorrect Ballot
<input type="checkbox"/>	Ballot Issue - Correct Ballot Unavailable
<input type="checkbox"/>	Other: (describe)

**Full description of the incident: (completed by person reporting the incident)**

At the beginning of one-stop on Oct. 31<sup>st</sup> at the Board of Elections office, ballots were picked up and taken across the lobby to the room being used for early voting. Among these blank ballots, wrapped in cellophane, was a ballot on top that the director had used during one-stop worker training while demonstrating machine use; one race on the ballot had been marked. The 1<sup>st</sup> voter of the day was inadvertently given this ballot; it was spoiled before the act of voting had taken place, the voter received a new ballot, and he was given an apology.

**Response to the incident at the precinct: (completed by person taking report)**

Same as above

**Response to the incident at the BOE: (completed by the BOE)**

The one-stop workers explained to the director what had occurred; they indicated that the voter seemed understanding of the situation. The voter had arrived with a relative at the one-stop site (likely a sister, as the two have the same last name and live in the same area of the county). She first voted the mark on the ballot. As the director did not have the voter's <sup>phone number</sup> ~~name~~ in his voter database, he followed up with this relative via phone. The situation was explained, and the director was told that the message would be relayed to the voter. This was approximately 45 minutes after the incident occurred. ~~Thus~~ The director did not sense any frustration, and the voter's relative seemed appreciative of the explanation.

**Recommendations to prevent such incidents in the future (completed by the BOE)**

The blank ballots were in a stack of materials that the director used during one-stop worker training. The director will work on work-space layout and segmenting materials better according to assignment.

Signature of person resolving/closing the incident:

Director \_\_\_\_\_

Date: 10/31/12

COUNTY BOARD OF ELECTIONS  
 NON VOTING SYSTEMS INCIDENT REPORT

Type of Incident:  Election Day    One-stop    Mail Absentee    Other (Non-Election Day)

Precinct: EAST WALNUT COVE   Date Incident Occurred: 11-6-12   Time: 12:12 P.M.

Name of Person Contacted at CBE: Jessica Michel

Person reporting the incident: MILDRED ROTHROCK   Contact number: 336-970-3421

Signature: \_\_\_\_\_

Check the item(s) that best describe(s) the general nature of the incident. Please provide a full description of the incident and the resolution in the blocks provided below:

<input type="checkbox"/>	Candidate/Campaign Worker Issue
<input type="checkbox"/>	Precinct Official Issue
<input type="checkbox"/>	Voter or Person Assisting Voter, Issue
<input type="checkbox"/>	Voter Offered Provisional Ballot but Chose Not to Vote Provisionally
<input type="checkbox"/>	Person unauthorized to enter into polling place
<input type="checkbox"/>	Facility Issue (incident with the facility – no power, water, heat, broken items)
<input type="checkbox"/>	Voter Assignment Issue
<input type="checkbox"/>	Ballot Issue - Voter Given Incorrect Ballot
<input type="checkbox"/>	Ballot Issue - Correct Ballot Unavailable
<input checked="" type="checkbox"/>	Other: (describe) <u>Provisional Ballot inserted in tabulator</u>

**Full description of the incident: (completed by person reporting the incident)**

Graylyse Bernard Kirkland voted provisional ballot. (U64)  
Kirkland was advised when finished voting to return to  
help table to place ballot in envelope. Ballot was put into  
tabulator.

**Response to the incident at the precinct: (completed by person taking report)**

No other ballot had been inserted into tabulator  
after Mr. Kirkland. 3 poll workers opened tabulator  
ballot box and removed Mr. Kirkland's ballot. He  
could identify ballot because he had checked one box  
and then filled in as it should be.

**Response to the incident at the BOE: (completed by the BOE)**

Given that no other ballot had been inserted into the tabulator, and the individual's ballot was at the top of the voting tabulator's ballot box, I permitted the bi-partisan team (Chief Judge & 2 Judges) to remove the ballot and place it in a provisional envelope.

During the canvassing process, BOE members were apprised of the situation and counted the ballot (the individual had been deemed to be an eligible voter).

**Recommendations to prevent such incidents in the future (completed by the BOE)**

Continue to emphasize during poll worker training the need for a worker to stay near a person completing the provisional voting process & ensure the ~~the~~ voted ballot is placed in the provisional envelope and not the voting machine.

Signature of person resolving/closing the incident:

\_\_\_\_\_  
Director

Date: 11/8/12

COUNTY BOARD OF ELECTIONS  
NON VOTING SYSTEMS INCIDENT REPORT

Type of Incident:  Election Day  One-stop  Mail Absentee  Other (Non-Election Day)

Precinct: Bernston Date Incident Occurred: 11/6/12 Time: 9:00

Name of Person Contacted at CBE: Jessica

Person reporting the incident: Besque Rutledge Contact number: 648 9946

Signature: \_\_\_\_\_

Check the item(s) that best describe(s) the general nature of the incident. Please provide a full description of the incident and the resolution in the blocks provided below:

<input type="checkbox"/>	Candidate/Campaign Worker Issue
<input type="checkbox"/>	Precinct Official Issue
<input type="checkbox"/>	Voter or Person Assisting Voter, Issue
<input type="checkbox"/>	Voter Offered Provisional Ballot but Chose Not to Vote Provisionally
<input type="checkbox"/>	Person unauthorized to enter into polling place
<input type="checkbox"/>	Facility Issue (incident with the facility – no power, water, heat, broken items)
<input type="checkbox"/>	Voter Assignment Issue
<input type="checkbox"/>	Ballot Issue - Voter Given Incorrect Ballot
<input type="checkbox"/>	Ballot Issue - Correct Ballot Unavailable
<input type="checkbox"/>	Other: (describe)

Full description of the incident: (completed by person reporting the incident)

Voter said that he did not  
vote an absentee ballot  
We let him vote provisional

Response to the incident at the precinct: (completed by person taking report)

We checked Mr. Cox for his I.D.  
Voted provisional

**Response to the incident at the BOE: (completed by the BOE)**

A voter of the same <sup>name</sup> had voted at the one-stop site in Danbury. The worker inadvertently hit the 'Vote' button for the incorrect person. I took the ~~a~~ completed, signed absentee application from the one-stop site, and the completed, signed provisional envelope from the Election Day polling place (Germanton) and matched each with the appropriate voter in the registration database. This confirmed the one-stop mistake. (Signatures were used to match)

The provisional vote was counted, as was the one-stop vote, and each voter was given voter history.

**Recommendations to prevent such incidents in the future (completed by the BOE)**

Continue to emphasize to ~~poll workers~~ one-stop workers the need to be careful when processing votes (matching name, address, etc.).

Signature of person resolving/closing the incident:

  
\_\_\_\_\_  
Director

Date: 11/8/12

COUNTY BOARD OF ELECTIONS  
 NON VOTING SYSTEMS INCIDENT REPORT

**Type of Incident:**  Election Day  One-stop  Mail Absentee  Other (Non-Election Day)

Precinct: Reynolds Date Incident Occurred: 11-06-12 Time: 6:30 am

Name of Person Contacted at CBE: Jason Perry

Person reporting the incident: Rebecca B. Covington Contact number: (336) 816-2676 cell  
351-3304 Home

Signature: [Signature]

**Check the item(s) that best describe(s) the general nature of the incident. Please provide a full description of the incident and the resolution in the blocks provided below:**

<input type="checkbox"/>	Candidate/Campaign Worker Issue
<input type="checkbox"/>	Precinct Official Issue
<input type="checkbox"/>	Voter or Person Assisting Voter, Issue
<input type="checkbox"/>	Voter Offered Provisional Ballot but Chose Not to Vote Provisionally
<input checked="" type="checkbox"/>	Person unauthorized to enter into polling place
<input type="checkbox"/>	Facility Issue (incident with the facility – no power, water, heat, broken items)
<input type="checkbox"/>	Voter Assignment Issue
<input type="checkbox"/>	Ballot Issue - Voter Given Incorrect Ballot
<input type="checkbox"/>	Ballot Issue - Correct Ballot Unavailable
<input type="checkbox"/>	Other: (describe)

**Full description of the incident: (completed by person reporting the incident)**

James W Bartlett, 1954 Brown Rd., Pinnacle, NC (336) 983-8370 entered voting facility  
Introduced himself as volunteer authorized to observe and to check voters off  
his list. Form attached to his list indicated he was approved. County BOE  
called me and informed me that he had not been approved by County BOE. I  
then asked him to leave (explained the procedure to him). He left peacefully.  
He was very courteous and understanding.

**Response to the incident at the precinct: (completed by person taking report)**

(See next page)

**Response to the incident at the BOE: (completed by the BOE)**

Per GS 163-45, individuals authorized to appoint observers must submit a list to the BOE by 10:00AM on the fifth day prior to the election. Since the BOE never received such a list, the observer was not permitted to remain inside the polling place.

As the observer was a representative of the Romney campaign's Project ORCA (as indicated to me), the county's Republican Party Chair was called. She had received calls about the matter herself, and she stated she had not been given a list of observers herself.

(Note: the Republican chair was also consulted because, in her position, she would have designated observers and then submitted the list to the BOE.)

**Recommendations to prevent such incidents in the future (completed by the BOE)**

Proper laws and procedures ~~were~~ were followed.

Signature of person resolving/closing the incident:

Director

0

Date:

11/8/12

COUNTY BOARD OF ELECTIONS  
NON VOTING SYSTEMS INCIDENT REPORT

Type of Incident:  Election Day    One-stop    Mail Absentee    Other (Non-Election Day)

Precinct: 2146027   Date Incident Occurred: 10/06   Time: 6:25 AM

Name of Person Contacted at CBE: Jason Perry

Person reporting the incident: Thomas Sutton   Contact number: \_\_\_\_\_

Signature: \_\_\_\_\_

Check the item(s) that best describe(s) the general nature of the incident. Please provide a full description of the incident and the resolution in the blocks provided below:

- Candidate/Campaign Worker Issue
- Precinct Official Issue
- Voter or Person Assisting Voter, Issue
- Voter Offered Provisional Ballot but Chose Not to Vote Provisionally
- Person unauthorized to enter into polling place
- Facility Issue (incident with the facility – no power, water, heat, broken items)
- Voter Assignment Issue
- Ballot Issue - Voter Given Incorrect Ballot
- Ballot Issue - Correct Ballot Unavailable
- Other: (describe)

Full description of the incident: (completed by person reporting the incident)

Lady entered part of aisle where she should sit to observe election.

Response to the incident at the precinct: (completed by person taking report)

Called Jason & had him explain rules to her

**Response to the incident at the BOE: (completed by the BOE)**

Observer went to her car to watch

Per GS 163-45, individuals authorized to appoint observers must submit a list to the BOE by 10:00 AM on the fifth day prior to the election. Since the BOE never received such a list, the observer was not permitted to remain inside the polling place.

Neither the county's Democratic chair nor Republican chair submitted such a list.

**Recommendations to prevent such incidents in the future (completed by the BOE)**

Proper laws and procedures were followed.

Signature of person resolving/closing the incident:

Director

Date: 11/8/12

COUNTY BOARD OF ELECTIONS  
 NON VOTING SYSTEMS INCIDENT REPORT

Type of Incident:  Election Day    One-stop    Mail Absentee    Other (Non-Election Day)

Precinct: MT OLIVE   Date Incident Occurred: 11/06/2012   Time: 7:15 AM

Name of Person Contacted at CBE: JESSICA MICKEL

Person reporting the incident: J. CAJANAUGH   Contact number: 336 418 9204

Signature: \_\_\_\_\_

Check the item(s) that best describe(s) the general nature of the incident. Please provide a full description of the incident and the resolution in the blocks provided below:

<input type="checkbox"/>	Candidate/Campaign Worker Issue
<input type="checkbox"/>	Precinct Official Issue
<input type="checkbox"/>	Voter or Person Assisting Voter, Issue
<input type="checkbox"/>	Voter Offered Provisional Ballot but Chose Not to Vote Provisionally
<input checked="" type="checkbox"/>	Person unauthorized to enter into polling place
<input type="checkbox"/>	Facility Issue (incident with the facility – no power, water, heat, broken items)
<input type="checkbox"/>	Voter Assignment Issue
<input type="checkbox"/>	Ballot Issue - Voter Given Incorrect Ballot
<input type="checkbox"/>	Ballot Issue - Correct Ballot Unavailable
<input type="checkbox"/>	Other: (describe)

**Full description of the incident: (completed by person reporting the incident)**

A LADY LAST CALLOWAY WITH ORCA (PAULA) ASKED TO MONITOR VOTING NUMBERS I CHECKED WITH CBE AND ASKED HER TO LEAVE SHE HAD OFFICIAL ~~PAPER~~ LOOKING PAPERS - SHE DID NOT RESIST WHEN I ASKED HER TO LEAVE. SHE RETURNED @ 10:25. AND INFORMED ME THAT I WAS NOT CONSISTENT WITH STATE LAW - I STILL ASK HER NOT TO COME IN - CIKED WITH JASON SHE CKEP WITH STATE PD

**Response to the incident at the precinct: (completed by person taking report)**

(See next page)

**Response to the incident at the BOE: (completed by the BOE)**

Per GS 163-45 individuals authorized to appoint observers must submit a list to the BOE by 10:00 AM on the fifth day prior to the election. Since the BOE never received such a list, the observer was not permitted to remain inside the polling place.

The belief expressed by this individual that she was compliant with law (see previous page) seems to stem from the belief that her name had been submitted to the BOE to be an observer. Neither the county's Democratic chair nor Republican chair submitted such a list, and authority lies with them to do so.

**Recommendations to prevent such incidents in the future (completed by the BOE)**

Proper laws and procedures were followed.

Signature of person resolving/closing the incident:

\_\_\_\_\_  
Director

\_\_\_\_\_  
Date:

11/8/12

COUNTY BOARD OF ELECTIONS  
 NON VOTING SYSTEMS INCIDENT REPORT

**Type of Incident:**  Election Day  One-stop  Mail Absentee  Other (Non-Election Day)

Precinct: West King Date Incident Occurred: 11/8/12 Time: ~7:00 AM

Name of Person Contacted at CBE: Jason Perry

Person reporting the incident: Norma Cox/Joyce Wall Contact number: \_\_\_\_\_

Signature: (form filled out by director)

**Check the item(s) that best describe(s) the general nature of the incident. Please provide a full description of the incident and the resolution in the blocks provided below:**

<input type="checkbox"/>	Candidate/Campaign Worker Issue
<input type="checkbox"/>	Precinct Official Issue
<input type="checkbox"/>	Voter or Person Assisting Voter, Issue
<input type="checkbox"/>	Voter Offered Provisional Ballot but Chose Not to Vote Provisionally
<input checked="" type="checkbox"/>	Person unauthorized to enter into polling place
<input type="checkbox"/>	Facility Issue (incident with the facility – no power, water, heat, broken items)
<input type="checkbox"/>	Voter Assignment Issue
<input type="checkbox"/>	Ballot Issue - Voter Given Incorrect Ballot
<input type="checkbox"/>	Ballot Issue - Correct Ballot Unavailable
<input type="checkbox"/>	Other: (describe)

**Full description of the incident: (completed by person reporting the incident)**

As with a few other Stokes County precincts on Election Day, an individual entered the polling place with a desire to be an observer or runner. Apparently the person was part of the Romney campaign's Project ORCA. Since I had not received the required list for observers or runners, I asked the poll worker to instruct this person she couldn't stay in the polling place. She then left.

**Response to the incident at the precinct: (completed by person taking report)**

(see next page)

**Response to the incident at the BOE: (completed by the BOE)**

Per GS ~~163-45~~ 163-45, individuals authorized to appoint observers must submit a list to the BOE by 10:00 AM on the fifth day prior to the election. Since the BOE never received such a list, the individual was not permitted to remain inside the polling place.

Neither the Democratic nor Republican chairs in the county submitted the list as required by law. The Republican chair was contacted on Election Day, and she was aware of the fact that some observers/runners had shown up to a few polling places. She was not given a list, however, by any groups to then submit to the BOE. She was surprised as well.

**Recommendations to prevent such incidents in the future (completed by the BOE)**

Proper laws and procedures were followed

Signature of person resolving/closing the incident:

\_\_\_\_\_  
Director

Date: 11/8/12